

NFA ID: 0490817

SAMANTHA SIVA KUMARAN

NFA Member Approved

NEFERTITI ASSET MANAGEMENT LLC

Affiliated with

NEFERTITI ASSET MANAGEMENT LLC

NFA Associate Member Approved

Associated Person Registered

Principal Approved

Regulatory Actions ?		
O NFA Actions	O CFTC Actions	O Exchange Actions

NFA Arbitration Decisions ?

O CFTC Reparations Cases ?

History Details

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BASIC | NFA

Date

Case 1:19-cv-08345-MKV-DCF Document 121-3 Filed 02/03/21 Page 2 of 2 Description

NEFERTITI ASSET MANAGEMENT LLC

09/22/2020 NFA ASSOCIATE MEMBER APPROVED

07/09/2020 ASSOCIATED PERSON REGISTERED

06/30/2020 NFA ASSOCIATE MEMBER PENDING

06/30/2020 PRINCIPAL APPROVED

06/30/2020 ASSOCIATED PERSON PENDING

NEFERTITI RISK CAPITAL MANAGEMENT LLC

08/05/2020 ASSOCIATED PERSON WITHDRAWN

08/05/2020 PRINCIPAL WITHDRAWN

07/30/2020 NFA ASSOCIATE MEMBER WITHDRAWN

05/23/2017 NFA ASSOCIATE MEMBER APPROVED

04/07/2017 ASSOCIATED PERSON REGISTERED

04/07/2017 PRINCIPAL APPROVED

04/04/2017 ASSOCIATED PERSON PENDING

04/04/2017 PRINCIPAL PENDING

04/04/2017 NFA ASSOCIATE MEMBER PENDING

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